# INITIAL ENVIRONMENTAL EXAMINATION TEMPLATE INSTRUCTIONS

**(These are template instructions; please delete this page as you finalize this document).**

**Background:**

This standardized template is part of a broader initiative to harmonize application of 22 CFR 216 across USAID. It also serves as an important step towards moving to an online Environmental Compliance System (ECS) which will be integrated with the Development Information System (DIS). Once online, much of this template will prepopulate based on user inputs.

**This template is used for:**

1. All environmental analyses of project/activities for which there is a potential for adverse impacts. For project/activities that fall entirely under categorical exclusion(s) please use the RCE Template.
2. Amendments to projects/activities impacting the scope or scale of activities.

**How to use this template:**

1. The first page is standard metadata utilized in the ECD and planned DIS system, please do not alter the fields. Enter as much of the information as is known at the time of drafting.
2. **On subsequent pages, there are embedded (screen tips) instructions to assist the writer.** To see the instructions, hover over the red underlined text. The embedded instructions are intended to streamline the paper template and to simulate the online system. Please ignore reference to “Control+Click to follow link” within the screen tips.
3. All **headings and existing text** are standard. Please refrain for editing.
4. **Yellow highlighted text** may be updated and/or deleted as appropriate. Please remove all yellow highlighting as you finalize the document.
5. **Be sure no PII information is contained within the document** prior to submitting for BEO approval.
6. **Delete this page as you finalize this document**.

Reminder: Mission Environmental Officers, Regional Environmental Officers, and Bureau Environmental Officers are resources for USAID staff developing projects and compliance documents. Please engage them early and often. Additional guidance and help is also available at <https://www.usaid.gov/environmental-procedures>.

USAID’s [Environmental Compliance Database](http://gemini.info.usaid.gov/egat/envcomp/) of approved 22 CFR 216 documentation provides examples of approved IEEs and other environmental compliance documents, which may assist with language for similar projects.

Revision Date: March 2019

Version: 3.1

Responsible Office: E3/AA

File Name: IEE\_Template

INITIAL ENVIRONMENTAL EXAMINATION

## Project/Activity Data

|  |  |
| --- | --- |
| **Project/Activity Name:** |  |
| **Geographic Location(s)** (Country/Region)**:** |  |
| **Amendment** (Yes/No), if Yes indicate # (1, 2...): |  |
| **Implementation Start/End Date** (FY or M/D/Y)**:** |  |
|  **If Amended, specify New End Date:** |  |
| **Solicitation/Contract/Award Number(s):** |  |
| **Implementing Partner(s):** |  |
| **Bureau Tracking ID:** |  |
| **Tracking ID of Related RCE/IEE** (if any)**:** |  |
| **Tracking ID of Other, Related Analyses:** |  |

## Organizational/Administrative Data

|  |  |
| --- | --- |
| **Implementing Operating Unit(s):** (e.g. Mission or Bureau or Office) |  |
|  **Other Affected Operating Unit(s):** |  |
|  **Lead BEO Bureau:** |  |
| **Funding Account(s)** (if available)**:** |  |
| **Original Funding Amount:** |  |
|  **If Amended, specify funding amount:**  |  |
|  **If Amended, specify new funding total:** |  |
| **Prepared by:** |  |
| **Date Prepared:** |  |

## ENVIRONMENTAL COMPLIANCE REVIEW DATA

|  |  |  |
| --- | --- | --- |
| **Analysis Type:** | [ ] Environmental Examination | [ ] Deferral |
| **Environmental Determination(s):** | [ ] Categorical Exclusion(s)[ ] Negative[ ] Positive[ ] Deferred (per 22 CFR 216.3(a)(7)(iv) |
| **IEE Expiration Date** (if applicable)**:** |  |
| [**Additional Analyses/Reporting Required**](file:///C%3A%5CUsers%5Cjploetz%5CDesktop%5CCCG_Global_Development%5CGEMS%20II%5CTEMPLATES%5Ctemplateedits%5CEC_Template_IEE_v4.0_Feb2019.docx)**:** |  |
| [**Climate Risks Identified**](file:///C%3A%5CUsers%5Cjploetz%5CDesktop%5CCCG_Global_Development%5CGEMS%20II%5CTEMPLATES%5Ctemplateedits%5CEC_Template_IEE_v4.0_Feb2019.docx) **(#):** | Low \_\_\_#\_\_\_ Moderate \_\_\_#\_\_\_ High \_\_\_#\_\_\_ |
| [**Climate Risks Addressed**](file:///C%3A%5CUsers%5Cjploetz%5CDesktop%5CCCG_Global_Development%5CGEMS%20II%5CTEMPLATES%5Ctemplateedits%5CEC_Template_IEE_v4.0_Feb2019.docx) **(#):** | Low \_\_\_#\_\_\_ Moderate \_\_\_#\_\_\_ High \_\_\_#\_\_\_ |

# THRESHOLD DETERMINATION AND SUMMARY OF FINDINGS

### [PROJECT/ACTIVITY SUMMARY](#_PROJECT/ACTIVITY_SUMMARY" \o "Provide a brief summary of the project/activity. Concisely describe how this IEE relates to any other RCEs/IEEs/EAs that cover this activity area for the mission or operating unit.)

# [ENVIRONMENTAL DETERMINATIONS](#Determination" \o "Provide a summary of the environmental determinations applicable to the specific projects/activities. As this section is a summary, complete this after the IEE.)

Upon approval of this document, the determinations become affirmed, per Agency regulations (22 CFR 216).

## [TABLE 1](#Table1" \o "The following table summarizes the environmental determinations applicable to the specific projects/activities. Complete this after the IEE; it can be copied from Section 4.1): ENVIRONMENTAL DETERMINATIONS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Projects/Activities** | **Categorical Exclusion Citation (if applicable)** | **Negative Determination**  | **Positive Determination[[1]](#footnote-1)** | **Deferral[[2]](#footnote-2)**  |
| Project/Activity 1: Title |  | [ ]  | [ ]  | [ ]  |
| Sub-activity 1.1: Title |  | [ ]  | [ ]  | [ ]  |
| Project/Activity 2: Title |  | [ ]  | [ ]  | [ ]  |
| Sub-activity 2.1: Title |  | [ ]  | [ ]  | [ ]  |
| [*Add rows as needed*] |  | [ ]  | [ ]  | [ ]  |

### [CLIMATE RISK MANAGEMENT](#CRM" \o "Provide a very brief summary of the CRM results from Section 4.2. )

### [BEO SPECIFIED CONDITIONS OF APPROVAL](#_BEO_SPECIFIED_CONDITIONS)

### IMPLEMENTATION

In accordance with 22 CFR 216 and Agency policy, the conditions and requirements of this document become mandatory upon approval. This includes the relevant limitations, conditions and requirements in this document as stated in Sections 3, 4, and 5 of the IEE and any BEO Specified Conditions of Approval.

# [USAID APPROVAL OF INITIAL ENVIRONMENTAL EXAMINATION](#Approval" \o "At a minimum include the noted required signatures. Concurrence by multiple BEOs required for mixed funding steams and geographic responsibilities. Add/Delete other signatures as necessary. Delete unused signature lines.)

**PROJECT/ACTIVITY NAME:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[**Bureau Tracking ID**](#_USAID_APPROVAL_OF)**:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |  |
| --- | --- | --- | --- |
| **Approval:** |  |  |  |
|  | [NAME], Mission Director or Washington DC Equivalent [*required*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| Clearance: |  |  |  |
|  | [NAME], Activity Manager [*as appropriate*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| Clearance: |  |  |  |
|  | [NAME], A/COR [*required*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| Clearance: |  |  |  |
|  | [NAME], Mission Environmental Officer [*as appropriate*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| Clearance: |  |  |  |
|  | [NAME], Regional Environmental Advisor [*as appropriate*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| Clearance: |  |  |  |
|  | [NAME], Regional Legal Officer [*as appropriate*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| Clearance: |  |  |  |
|  | [NAME], Climate Integration Lead [*as appropriate*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| Clearance: |  |  |  |
|  | [NAME], Other [*as appropriate*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| **Concurrence:** |  |  |  |
|  | [NAME], \_\_\_\_\_\_\_\_ Bureau Environmental Officer [*required*] |  | Date |
|  |  |  |  |
|  |  |  |  |
| **Concurrence:** |  |  |  |
|  | [NAME], \_\_\_\_\_\_\_\_ Bureau Environmental Officer [*other BEOs required for cross Bureau funding or geographic responsibilities*] |  | Date |

**[DISTRIBUTION](#Approval" \o "Distribution lists may be customized by Bureau or Mission. Please follow Bureau- or Mission-specific guidance. Delete if not used. ):**

INITIAL ENVIRONMENTAL EXAMINATION

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# 1.0 PROJECT/ACTIVITY DESCRIPTION

### [1.1 PURPOSE OF the IEE](#Purpose" \o "This section explains the purpose of the IEE. Update standard language with project/activity specifics. If the purpose is to amend a previous IEE, briefly state what is changing. Briefly describe how this IEE relates to any other environmental analyses.)

The purpose of this document, in accordance with Title 22, Code of Federal Regulations, Part 216 ([22 CFR 216](https://www.usaid.gov/our_work/environment/compliance/22cfr216)), is to provide a preliminary review of the reasonably foreseeable effects on the environment of the USAID intervention described herein and recommend determinations and, as appropriate, conditions, for these activities. Upon approval, these determinations become affirmed, and specified conditions become mandatory obligations of implementation. This IEE also documents the results of the Climate Risk Management process in accordance with USAID policy (specifically, [ADS 201mal](https://www.usaid.gov/ads/policy/200/201mal)).

This IEE is a critical element of USAID’s mandatory environmental review and compliance process meant to achieve environmentally sound design and implementation. Potential environmental impacts should be addressed through formal environmental mitigation and monitoring plans (EMMPs) and/or Environmental Assessments (EAs), if needed.

### [1.2 PROJECT/ACTIVITY OVERVIEW](#Overview" \o "In this section provide a brief overview of the project/activity. This section typically can be derived from the Project Design Plan (PDP), Project Approval Document (PAD) or Activity Approval Document (AAD).)

### [1.3 PROJECT/ACTIVITY DESCRIPTION](#Description" \o "Describe components and sub-activities in enough detail to determine if they are eligible for a CE or if direct or indirect impacts are reasonably foreseeable. Activities must be described in a way that is meaningful to environmental analysis.)

## [TABLE 2](#Table2" \o "This is a standard table, please use this table unless instructed otherwise by your BEO): DEFINED OR ILLUSTRATIVE PROJECTS/ACTIVITIES AND SUB-ACTIVITIES

|  |
| --- |
| **Project/Activity 1 — [Title]** |
| Sub-activity 1.1  |
| 1.2 |
| 1.3 |
| **Project/Activity 2 — [Title]** |
| Sub-activity 2.1 |
| 2.2 |
| 2.3 |
| **Add/Delete as needed** |

**Will this project/activity involve construction[[3]](#footnote-3) as defined by ADS 201 and 303? Yes** [ ]  **No** [ ]

If yes, describe in the space below:

# [2.0 BASELINE ENVIRONMENTAL INFORMATION](#Baseline" \o "Include information pertinent to making informed environmental determinations and improving mitigation and monitoring of activities, refer to ADS 204 for required elements, such as potential Impacts to health, air, water, land, biodiversity, etc. )

### [2.1 LOCATIONS AFFECTED AND ENVIRONMENTAL CONTEXT](#location" \o "Tailor to include baseline environmental info appropriate to context of the intervention. This section serves as the baseline for future environmental M&E and may include info on health and safety, air & water quality, indigenous peoples, etc.) (ENVIRONMENT, PHYSICAL, CLIMATE, SOCIAL, Threatened and ENDANGERED species)

### [2.2 APPLICABLE AND APPROPRIATE PARTNER COUNTRY AND OTHER INTERNATIONAL STANDARDS (E.G. WHO), ENVIRONMENTAL AND SOCIAL LAWS, POLICIES, AND REGULATIONS](#hostcountry" \o "Summarize partner country environmental, health, & safety laws & regulations relevant to the proposed intervention. Discuss applicable permit requirements, policies, and regulations, including country EIA requirements.)

### [2.3 COUNTRY/MINISTRY/MUNICIPALITY ENVIRONMENTAL CAPACITY ANALYSIS](#localcapacity" \o "Discuss capacity of local governmental and NGOs to implement applicable permit requirements, policies, laws and regulations. If there have been consultations with the partner government on the environmental capacity analysis, discuss here.) (AS APPROPRIATE)

# [3.0 ANALYSIS OF POTENTIAL ENVIRONMENTAL RISK](#Analysis)

## [PROJECT/ACTIVITY 1](#PA1" \o "Provide a narrative detailing potential adverse environmental and social impacts for the project/activity and summarize results in the table): TITLE XXX

**TABLE 3A. POTENTIAL IMPACTS – PROJECT/ACTIVITY 1**

|  |  |
| --- | --- |
| **Project/Activity**  | **Potential environmental and social impacts** |
| Project/Activity 1:  |  |
| Sub-activity 1.1:  |  |
| Sub-activity 1.2:  |  |
| [*Add rows as needed*] |  |

## [PROJECT/ACTIVITY 2](#PA2" \o "Provide a narrative detailing potential adverse environmental and social impacts for the project/activity and summarize results in the table.): TITLE XXX

**TABLE 3B. POTENTIAL IMPACTS – PROJECT/ACTIVITY 2**

|  |  |
| --- | --- |
| **Project/Activity**  | **Potential environmental and social impacts** |
| Project/Activity 2:  |  |
| Sub-activity 2.1:  |  |
| Sub-activity 2.2:  |  |
| [*Add rows as needed*] |  |

 [*Add narratives and summary tables as needed for additional project/activity components.*]

# 4.0 ENVIRONMENTAL DETERMINATIONS

### [4.1 RECOMMENDED ENVIRONMENTAL DETERMINATIONS](#RED" \o "Provide a narrative summary of the recommended determinations based on the environmental analysis conducted.)

The following table summarizes the recommended determinations based on the environmental analysis conducted. Upon approval, these determinations become affirmed, per 22 CFR 216. Specified conditions, detailed in Section 5, become mandatory obligations of implementation, per ADS 204.

## [TABLE 4](file:///C%3A%5CUsers%5Cjploetz%5CDesktop%5CCCG_Global_Development%5CGEMS%20II%5CTEMPLATES%5Ctemplateedits%5CEC_Template_IEE_v4.0_Feb2019.docx): ENVIRONMENTAL DETERMINATIONS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Projects/Activities** | **Categorical Exclusion Citation (if applicable)** | **Negative Determination**  | **Positive Determination[[4]](#footnote-4)** | **Deferral[[5]](#footnote-5)**  |
| Project/Activity 1: Title |  | [ ]  | [ ]  | [ ]  |
| Sub-activity 1.1: Title |  | [ ]  | [ ]  | [ ]  |
| Project/Activity 2: Title |  | [ ]  | [ ]  | [ ]  |
| Sub-activity 2.1: Title |  | [ ]  | [ ]  | [ ]  |
| [*Add rows as needed*] |  | [ ]  | [ ]  | [ ]  |

### [4.2 CLIMATE RISK MANAGEMENT](#CRM2" \o "Include a brief narrative of the risk assessment methodology and a Climate Risk Management Summary based on the GCC CRM Annex attached to this document. )

This section summarizes the methodology used and findings of the CRM Screening, in accordance with [ADS 201mal](https://www.usaid.gov/ads/policy/200/201mal). The project design team, in consultation with the CIL, considered the potential effect of climate risks/stressors on the sustainability of the project (changing precipitation patterns, rising temperature, floods, droughts, fires, landslides, etc.) in addition to the impact of project activities on the climate (increased greenhouse gas emissions, land use changes, etc.). See Annex 1 for the compete CRM table.

# 5.0 CONDITIONS AND MITIGATION MEASURES

### [5.1 CONDITIONS](#Conditions" \o "Use these standard conditions, except where your BEO has instructed otherwise. Refrain from modifying these except when the condition is not relevant.)

The environmental determinations in this IEE are contingent upon full implementation of the following general implementation and monitoring requirements, as well as ADS 204 and other relevant requirements.

**5.1.1 During Pre-Award:**

5.1.1.1 Pre-Award Briefings: As feasible, the design team and/or the cognizant environmental officer(s) (e.g., MEO, REA, BEO) will provide a pre-award briefing for potential offerors on environmental compliance expectations/responsibilities at bidders’ conferences.

5.1.1.2 Solicitations: The design team, in coordination with the A/CO, will ensure solicitations include environmental compliance requirements and evaluation criteria. A/CO will ensure technical and cost proposal requirements include approach, staffing, and budget sufficient for complying with the terms of this IEE.

5.1.1.3 Awards: The A/COR, in coordination with the A/CO, will ensure all awards and sub-awards, include environmental compliance requirements.

**5.1.2 During Post-Award:**

5.1.2.1 Post-Award Briefings: The A/COR and/or the cognizant environmental officer(s) (e.g., MEO, REA, BEO) will provide post-award briefings for the IP on environmental compliance responsibilities.

5.1.2.3 Workplans and Budgeting: The A/COR will ensure the IP integrates environmental compliance requirements in work plans and budgets to comply with requirements, including EMMP implementation and monitoring.

5.1.2.4 Staffing: The A/COR, in coordination with the IP, will ensure all awards have staffing capacity to implement environmental compliance requirements.

5.1.2.5 Records Management: The A/COR will maintain environmental compliance documents in the official project/activity file and upload records to the designated USAID environmental compliance database system.

5.1.2.6 Host Country Environmental Compliance: The A/COR will ensure the IP complies with applicable and appropriate host country environmental requirements unless otherwise directed in writing by USAID. However, in the case of a conflict between the host country and USAID requirements, the more stringent shall govern.

5.1.2.7 Work Plan Review: The A/COR will ensure the IP verifies, at least annually or when activities are added or modified, that activities remain with the scope of the IEE. Activities outside of the scope of the IEE cannot be implemented until the IEE is amended.

5.1.2.8 IEE Amendment: If new activities are introduced or other changes to the scope of this IEE occur, an IEE Amendment will be required.

5.1.2.14 USAID Monitoring Oversight: The A/COR or designee, with the support of the cognizant environmental officer(s) (e.g., MEO, REA, BEO), will ensure monitoring of compliance with established requirements (e.g., by desktop reviews, site visits, etc.).

5.1.2.16 Environmental Compliance Mitigation and Monitoring Plan: The A/COR will ensure the IP develops, obtains approval for, and implements Environmental Mitigation and Monitoring Plans (EMMPs) that are responsive to the stipulated environmental compliance requirements.

5.1.2.17 Environmental Compliance Reporting: The A/COR will ensure the IP includes environmental compliance in regular project/activity reports, using indicators as appropriate; develops and submits the Environmental Mitigation and Monitoring Reports (EMMRs); and completes and submits a Record of Compliance (RoC) describing their implementation of EMMP requirements in conjunction with the final EMMR or at the close of sub activities (as applicable). And where required by Bureaus or Missions, ensure the IP prepares a closeout plan consistent with contract documentation for A/COR review and approval that outlines responsibilities for end-of-project operation, the transition of other operational responsibilities, and final EMMR with lessons learned.

5.1.2.18 Corrective Action: When noncompliance or unforeseen impacts are identified, IPs notify the A/COR, place a hold on activities, take corrective action, and report on the effectiveness of corrective actions. The A/COR initiates the corrective action process and ensures the IP completes and documents their activities. Where required by Bureaus or Missions, ensure Record of Compliance is completed.

### [5.2 AGENCY CONDITIONS](#agencyconditions" \o "These are standard Agency conditions, use these unless otherwise instructed by your BEO. Remove those that do not apply to your project/activities.)

5.2.1 Sub-award Screening: The A/COR will ensure the IP uses an adequate environmental screening tool to screen any sub-award applications and to aid in the development of EMMPs.

5.2.2 Programmatic IEEs (PIEE): PIEEs stipulate requirements for additional environmental examination of new or country specific projects/activities. The A/COR of any project/activity being implemented under a PIEE will ensure appropriate reviews are conducted, typically through a Supplemental IEE, and approved by the cognizant BEO.

5.2.3 Supplemental IEEs (SIEEs): An SIEE will be prepared for any new project/activity being planned which fall under a PIEE. The SIEE will provide more thorough analysis of the planned activities, additional geographic context and baseline conditions as well as specific mitigation and monitoring requirements.

5.2.4 Other Supplemental Analyses: The A/COR will ensure supplemental environmental analyses that are called for in the IEE are completed and documented.

5.2.5 Resolution of Deferrals: If a deferral of the environmental threshold determination was issued, the A/COR will ensure that the appropriate 22CFR216 environmental analysis and documentation is completed and approved by the BEO before the subject activities are implemented.

5.2.6 Positive Determination: If a Positive Determination threshold determination was made, the A/COR will ensure a Scoping Statement, and if required an Environmental Assessment (EA), is completed and approved by the BEO before the subject activities are implemented.

5.2.7 Compliance with human subject research requirements: The AM, A/COR shall assure that the IP and sub-awardees, -grantees, and -contractors demonstrate completion of all requirements for ethics review and adequate medical monitoring of human subjects who participate in research trials carried out through this IEE and ensure appropriate records are maintained. All documentation demonstrating completion of required review and approval of human subject trials must be in place prior to initiating any trials and cover the period of performance of the trial as described in the research protocol.

### [5.3 MITIGATION MEASURES](#MM" \o "Provide narrative detailing required mitigation measures for reducing undesirable impacts on the environment. At Bureau discretion, a draft EMMP may be prepared as part of this IEE.  The IP must further develop an EMMP based on work plans.)

The mitigation measures presented in this section constitute the minimum required based on available information at the time of this IEE and the environmental analysis in Section 4. These measures shall provide general direction for completing the project/activity Environmental Mitigation and Monitoring Plan (EMMP) and/or the EA and PERSUAP, if required.

**[PROJECT/ACTIVITY 1](#MMTable" \o "This is a standard, mandatory, table of mitigation measures. Please use this table unless instructed otherwise by your BEO.): TITLE XXX**

## TABLE 5A. SUMMARY OF MITIGATION MEASURES FOR PROJECT/ACTIVITY 1

|  |  |
| --- | --- |
| **Project/Activity**  | **Mitigation Measure(s)** |
| Project/Activity 1:  |  |
| Sub-activity 1.1:  |  |
| Sub-activity 1.2:  |  |
|  |  |

## [PROJECT/ACTIVITY 2](#MMTable): TITLE XXX

## TABLE 5B. SUMMARY OF MITIGATION MEASURES FOR PROJECT/ACTIVITY 2

|  |  |
| --- | --- |
| **Project/Activity**  | **Mitigation Measure(s)** |
| Project/Activity 2:  |  |
| Sub-activity 2.1:  |  |
| Sub-activity 2.2:  |  |
|  |  |

[*Add summary tables as needed for additional project/activity components*.]

# [6.0 LIMITATIONS OF THIS INITIAL ENVIRONMENTAL EXAMINATION](#limitations" \o "These are standard limitations and should not be modified unless covered in the IEE, if so, delete the bullet(s) covered in the preceeding IEE. )

The determinations recommended in this document apply only to projects/activities and sub-activities described herein. Other projects/activities that may arise must be documented in either a separate IEE, an IEE amendment if the activities are within the same project/activity, or other type of environmental compliance document and shall be subject to an environmental analysis within the appropriate documents listed above.

Other than projects/activities determined to have a Positive Threshold Determination, it is confirmed that the projects/activities described herein do not involve actions normally having a significant effect on the environment, including those described in 22 CFR 216.2(d).

In addition, other than projects/activities determined to have a Positive Threshold Determination and/or a pesticide management plan (PERSUAP), it is confirmed that the projects/activities described herein do not involve any actions listed below. Any of the following actions would require additional environmental analyses and environmental determinations:

* Support project preparation, project feasibility studies, or engineering design for activities listed in §216.2(d)(1);
* Affect endangered and threatened species or their critical habitats per §216.5, FAA 118, FAA 119;
* Provide support to extractive industries (e.g. mining and quarrying) per FAA 117;
* Promote timber harvesting per FAA 117 and 118;
* Lead to new construction, reconstruction, rehabilitation, or renovation work per §216.2(b)(1);
* Support agro-processing or industrial enterprises per §216.1(b)(4);
* Provide support for regulatory permitting per §216.1(b)(2);
* Lead to privatization of industrial facilities or infrastructure with heavily polluted property per §216.1(b)(4);
* Research, testing, or use of genetically engineered organisms per §216.1(b)(1), ADS 211
* Assist the procurement (including payment in kind, donations, guarantees of credit) or use (including handling, transport, fuel for transport, storage, mixing, loading, application, clean-up of spray equipment, and disposal) of pesticides or activities involving procurement, transport, use, storage, or disposal of toxic materials. Pesticides cover all insecticides, fungicides, rodenticides, etc. covered under the Federal Insecticide, Fungicide, and Rodenticide Act per §216.2(e) and §216.3(b).

# 7.0 REVISIONS

Per 22 CFR 216.3(a)(9), when ongoing programs are revised to incorporate a change in scope or nature, a determination will be made as to whether such change may have an environmental impact not previously assessed. If so, this IEE will be amended to cover the changes. Per ADS 204, it is the responsibility of the USAID A/COR to keep the MEO/REA and BEO informed of any new information or changes in the activity that might require revision of this environmental analysis and environmental determination.

# [ATTACHMENTS:](file:///C%3A%5C%5CUsers%5C%5Cjploetz%5C%5CDesktop%5C%5CCCG_Global_Development%5C%5CGEMS%20II%5C%5CTEMPLATES%5C%5CTemplate_RCE_v4.0_Feb2019.docx%22%20%5Cl%20%22Attachments%22%20%5Co%20%22Complete%20appropriate%20CRM%20table%2C%20one%20if%20for%20projects%2C%20the%20other%20for%20activities.%20Delete%20the%20other%20table.%20As%20per%20the%20mandatory%20CRM%20reference%2C%20other%20tables%20may%20be%20used.%20List%20any%20other%20attachments%20used.)

Annex 1: Climate Risk Management Summary Table for Projects (use for project level IEE) or

Annex 1: Climate Risk Management Summary Table for Activity (use for Activity level IEE)

(note: preparers have the option to use the Climate Risk Screening and Management Tool for Projects/Activity Design if they choose).

## Annex 1. Project Climate Risk Management Summary Table

| **Defined or Anticipated Project Elements[[6]](#footnote-6)** | **Climate Risks[[7]](#footnote-7)** | **Risk Rating[[8]](#footnote-8)** | **How Risks are Addressed at Project Leve[[9]](#footnote-9)l** | **Further Analysis and Actions for Activity Design/ Implementation[[10]](#footnote-10)** | **Opportunities to Strengthen Climate Resilience[[11]](#footnote-11)** |
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## Annex 1. Activity Climate Risk Management Summary Table

| **Tasks/Defined or Illustrative Interventions** | **Climate Risks[[12]](#footnote-12)** | **Risk Rating[[13]](#footnote-13)** | **How Risks are Addressed[[14]](#footnote-14)**  | **Opportunities to Strengthen Climate Resilience[[15]](#footnote-15)** |
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1. Positive Determinations require preparation of a Scoping Statement and Environmental Assessment. [↑](#footnote-ref-1)
2. Deferrals must be cleared through an Amendment to this IEE prior to implementation of any deferred activities. [↑](#footnote-ref-2)
3. **Construction, as defined by ADS 201 and 303,** includes: construction, alteration, or repair (including dredging and excavation) of buildings, structures, or other real property and includes, without limitation, improvements, renovation, alteration and refurbishment. The term includes, without limitation, roads, power plants, buildings, bridges, water treatment facilities, and vertical structures. In the box below, describe any construction planned for this project/activity. Refer to [ADS 201maw](https://www.usaid.gov/sites/default/files/documents/1865/201maw.pdf) for required Construction Risk Management procedures. [↑](#footnote-ref-3)
4. Positive Determinations require preparation of a Scoping Statement and Environmental Assessment. [↑](#footnote-ref-4)
5. Deferrals must be cleared through an Amendment to this IEE prior to implementation of any deferred activities. [↑](#footnote-ref-5)
6. Purpose/Sub-purpose, Area of Focus, or Activity/ Mechanism, etc. [↑](#footnote-ref-6)
7. List key risks related to the project elements identified through either the strategy- or project-level climate risk assessment. [↑](#footnote-ref-7)
8. Low/Moderate/ High [↑](#footnote-ref-8)
9. Describe how risks have been addressed at the project level. If a decision has been made to accept the risk, briefly explain why. [↑](#footnote-ref-9)
10. Describe CRM measures to be integrated into activity design or implementation, including additional analysis, if applicable. [↑](#footnote-ref-10)
11. Describe opportunities to achieve development objectives by integrating climate resilience or mitigation measures. [↑](#footnote-ref-11)
12. List key risks related to the defined/illustrative interventions identified in the screening and additional assessment. [↑](#footnote-ref-12)
13. Low/Moderate/ High [↑](#footnote-ref-13)
14. Describe how risks have been addressed in activity design and/or additional steps that will be taken in implementation. If you chose to accept the risk, briefly explain why. [↑](#footnote-ref-14)
15. Describe opportunities to achieve multiple development objectives by integrating climate resilience or mitigation measures [↑](#footnote-ref-15)